HAMSTER: HIERARCHICAL ACTION MODELS FOR OPEN-WORLD ROBOT MANIPULATION

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Paper under double-blind review

Abstract

Large models have shown strong open-world generalization to complex problems in vision and language, but they have been relatively more difficult to deploy in robotics. This challenge stems from several factors, the foremost of which is the lack of scalable robotic training data since this requires expensive on-robot collection. For scalable training, these models must show considerable transfer across domains, to make use of cheaply available "off-domain" data such as videos, hand-drawn sketches, or data from simulation. In this work, we posit that hierarchical vision-language-action models can be more effective at transferring behavior across domains than standard monolithic vision-language-action models. In particular, we study a class of hierarchical vision-language-action models, where high-level vision-language models (VLMs) are trained on relatively cheap data to produce semantically meaningful intermediate predictions such as 2D paths indicating desired behavior. These predicted 2D paths serve as guidance for low-level control policies that are 3D-aware and capable of precise manipulation. In this work, we show that separating prediction into semantic high-level predictions, and 3D-aware low-level predictions allows such *hierarchical* VLA policies to transfer across significant domain gaps, from simulation to the real world or across scenes with widely varying visual appearance. Doing so allows for the usage of cheap, abundant data sources beyond teleoperated on-robot data thereby enabling broad semantic and visual generalization. We demonstrate how hierarchical architectures trained on such cheap off-domain data can enable robotic manipulation with semantic, visual, and geometric generalization through experiments in simulation and the real world.

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1 INTRODUCTION

Developing general robot manipulation policies has been notoriously difficult. With the advent of large vision-language models (VLMs) that display compelling generalizations, there is an optimism 037 that similar techniques can be helpful for robotic manipulation. Several prior works (Team et al., 2024; Kim et al., 2024; Gu et al., 2023) build open-world vision-language-action models (VLAs) by finetuning off-the-shelf, pretrained VLMs. The recipe for training many of these VLA mod-040 els has been to collect and curate a large-scale robotics-specific dataset, complete with images and 041 corresponding on-robot actions, and then finetune a VLM to directly produce actions (Kim et al., 042 2024; Brohan et al., 2023a). Such VLAs have shown robustness on simple tasks and controlled 043 environmental variations. However, these models display limited generalization in terms of environ-044 ment, object, task, and semantic variation. This issue could be attributed to the scarcity of diverse, in-domain training data. The data needed to train these models is expensive since it requires endto-end image-action pairs that must all be collected directly on-robot. A solution for training VLA 046 models must be developed to bring down the cost of data collection or learn from easy-to-collect 047 "cheap" sources of data. 048

On the other hand, relatively "small" imitation learning models have shown impressive dexterity
and geometric robustness. Such models have demonstrated promise across a range of complex
tasks involving contact-rich manipulation and 3D reasoning, spanning domains from tabletop manipulation (Shridhar et al., 2023; Goyal et al., 2023) to fine dexterous manipulation (Zhao et al.,
2023). Trained on relatively small datasets, these models show local robustness and stable control
but typically lack semantic or visual generalization. They are often brittle to changes in the envi-

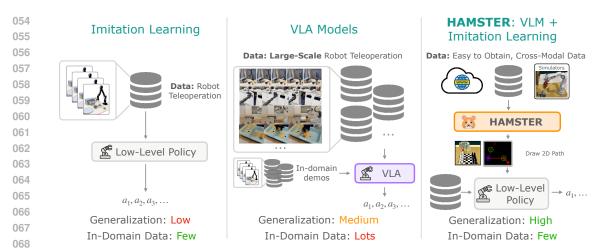


Figure 1: Overview of HAMSTER, VLAs and "smaller" imitation learning methods. HAMSTER's hierarchi cal design results in better generalization with a small amount of in-domain data. HAMSTER is able to utilize
 cheap training sources such as videos or simulations for enhanced generalization.

ronment, semantic description of the tasks, or changes in the objects being manipulated (Pumacay et al., 2024). This fragility can also be boiled down to scarce in-domain data collected on a robot.
Reliable, generalizable robotic learning techniques must marry the generalization benefits of large VLMs, with the efficiency, local robustness and dexterity of small imitation learning policies, all while being able to train from abundant and cheap sources of data. In this work, we ask – can we design VLA models that train on relatively abundant and cheap data sources, showing broad visual and semantic generalization, while capturing the low-level geometric and 3D understanding displayed by small imitation learning models?

We propose that a hierarchical architecture for vision-language-actions models, HAMSTER 081 (Hierarchical Action Models with SeparaTEd Path Representations), can serve as an effective way to learn from abundant and cheap sources of data such as videos or simulation. We study a family 083 of HAMSTERs, where finetuned VLMs are connected to low-level 3D policy learning methods via intermediate 2D path representations. Since these 2D paths can easily be obtained in abundance 084 from data sources such as videos or simulations (either with point tracking, hand-sketching, or pro-085 prioceptive projection), these can be used to finetune the larger higher-level VLM in HAMSTER. These 2D paths can then serve as guidance for a low-level policy that operates on rich 3D and pro-087 prioceptive inputs, alleviating the burden of long-horizon planning and semantic reasoning, allowing 880 low-level policies to focus on robustly generating precise, spatially-aware actions. 089

Representations similar to 2D paths has been explored in the robot learning literature (Gu et al., 090 2023), primarily as a technique for flexible task specification. However, the key hypothesis explored 091 in this paper is distinct – we posit that using cheap data such as videos or simulation to finetune 092 hierarchical path generating VLMs can enable a surprising degree of cross-domain transfer as compared to the direct transfer of monolithic vision-language-action models (Brohan et al., 2022; Kim 094 et al., 2024). Here the focus is less on using paths as a scalable technique for task specification, 095 and more on using hierarchy as a mechanism for robust cross-domain transfer across settings with 096 considerable visual and semantic differences. Specifically, we find that VLMs trained to predict 2D path representation can transfer to the real world from simulations that look very different from the 098 real world, or across real-world scenarios with widely varying appearance. Hence, the hierarchical design of HAMSTER provides a way to utilize cheaper, but perceptually varying sources of "off-099 domain" data (such as simulation or cross-embodiment data) to benefit real-world control policies. 100

The hierarchical design presented in HAMSTER can also offer additional advantages through the decoupling of VLM training and low-level action prediction. Specifically, since the higher-level VLM is predicting semantically meaningful trajectories from monocular RGB camera inputs, the lower-level control policies can operate from rich 3D and proprioceptive inputs. In doing so, HAM STER inherits the semantic reasoning benefits of VLMs along with the 3D reasoning and spatial awareness benefits of 3D imitation learning policies (Goyal et al., 2024; Ke et al., 2024). Finally, since HAMSTER is built on both open-source VLMs and low-level policies, it can serve as a fully open-sourced enabler for the community-building vision-language-action models.

108 2 RELATED WORK

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LLMs and VLMs for robotics. Early attempts in leveraging LLMs and VLMs for robotics are 111 through pretrained language (Jang et al., 2022; Shridhar et al., 2023; Singh et al., 2023) and vi-112 sual (Shah & Kumar, 2021; Parisi et al., 2022; Nair et al., 2023; Ma et al., 2023) models. However, 113 these are not sufficient for complex semantic reasoning and generalization to the open world (Bro-114 han et al., 2022; Zitkovich et al., 2023). Recent research has focused on directly leveraging open 115 world reasoning and generalization capability of LLMs and VLMs, by prompting or fine-tuning 116 them to, e.g., generate plans (Huang et al., 2022; 2023b; Lin et al., 2023; Liang et al., 2023; Singh 117 et al., 2023; Brohan et al., 2023b), construct value (Huang et al., 2023a) and reward functions (Kwon 118 et al., 2023; Sontakke et al., 2023; Yu et al., 2023; Ma et al., 2024; Wang et al., 2024). Our work is more closely related to the literature on VLA models, summarized below. 119

120 Monolithic VLA models as language-conditioned robot policies. Monolithic VLA models have 121 been proposed to produce robot actions given task description and image observations directly (Bro-122 han et al., 2022; Jiang et al., 2023; Zitkovich et al., 2023; Team et al., 2024; Kim et al., 2024; 123 Radosavovic et al., 2023). Monolithic VLA models are often constructed from VLMs (Liu et al., 2024b; Bai et al., 2023; Driess et al., 2023; Lin et al., 2024), and are trained on large-scale robot 124 teleoperation data (Brohan et al., 2022; Collaboration et al., 2023; Khazatsky et al., 2024) to predict 125 actions as text or special tokens. However, due to the lack of coverage in existing robotics datasets, 126 they must be finetuned in-domain on expensive teleoperated data. The most relevant monolithic 127 VLA model is LLARVA (Niu et al., 2024), which predicts end-effector trajectories in addition to 128 robot actions. However, LLARVA does not use trajectory prediction to control the robot; rather, it 129 uses it as an auxiliary task to improve action prediction. Therefore, LLARVA still suffers from the 130 limitations of monolithic VLA models. In contrast, our work takes a hierarchical approach, drasti-131 cally reducing the number of demonstrations needed for learning downstream tasks and the number 132 of VLM calls per episode. Moreover, our proposed hierarchical architecture enables training from 133 cheaper data sources while enabling considerable cross-domain transfer.

VLMs for predicting intermediate representations Our work bears connections to prior methods using vision-language models for intermediate prediction. These methods can be categorized by the choice of predicted representation:

Point-based predictions: A common intermediate prediction interface has been keypoint affor-138 dances (Stone et al., 2023; Sundaresan et al., 2023; Nasiriany et al., 2024; Yuan et al., 2024). Some 139 examples include using open-vocabulary detectors (Minderer et al., 2022), iterative prompting of 140 VLMs (Nasiriany et al., 2024), or fine-tuning detectors to identify certain parts of an object by se-141 mantics (Sundaresan et al., 2023). Perhaps most related, Yuan et al. (2024) finetunes a VLM to 142 predict objects of interest as well as free space for placing an object, and Liu et al. (2024a) propose 143 a mark-based visual prompting procedure to predict keypoint affordances as well as a fixed number 144 of waypoints. As opposed to these, our work finetunes a VLM model to not just predict points but 145 rather entire 2D paths, making it more broadly applicable across robotic tasks.

146 Trajectory-based predictions: The idea of using trajectory-based task specifications to condition 147 low-level policies was proposed in RT-trajectory (Gu et al., 2023), largely from the perspective 148 of flexible task specification. This work also briefly discusses the possibility of combining RT-149 Trajectory with trajectory sketches generated from prompting a pre-trained vision language model. 150 Complementary to RT-Trajectory, the focus of this work is less on the use of trajectory sketches for 151 task specification, but rather on the abilities of a hierarchical VLA model to finetune the high-level 152 VLM on cheap and abundant sources. This could include training data such as videos or simulation data, and show transfer to test scenarios of interest with considerable visual and semantic 153 variation. While RT-trajectory uses human effort or off-the-shelf pre-trained models to generate 154 trajectories, we show that finetuning VLM models on cheap data sources can generate more accu-155 rate and generalizable trajectories (see Table. 2). Moreover, our instantiation of this architecture 156 enables the incorporation of rich 3D and proprioceptive information, as compared to monocular 2D 157 policies (Gu et al., 2023). 158

 Leveraging simulation data for training robot policies. There has been extensive work on leveraging simulation for robot learning. Simulation data is popular in reinforcement learning (RL), as RL on real robotic systems is often impractical due to high sample complexity and safety concerns (Lee et al., 2020; Handa et al., 2023; Torne et al., 2024). Recently, simulation has been also exploited to directly generate (Fishman et al., 2022) or bootstrap (Mandlekar et al., 2023) large-scale
datasets for imitation learning, to reduce the amount of expensive robot teleoperation data needed.
Our work takes a different approach - using simulation data to finetune a VLM, and showing that
VLM is able to transfer the knowledge learned from simulation data to real robot systems, despite
considerable visual differences. A related observation is recently made by (Yuan et al., 2024), but
they use keypoint affordances as the interface between the VLM and the low-level policy as opposed
to more general expressive 2D path representations.

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3 BACKGROUND

172 Imitation Learning via Supervised Learning: The goal of imitation learning is to train a prob-173 abilistic policy $\pi_{\theta}(a \mid s, o, z)$ from an expert-provided dataset. This policy π_{θ} outputs the prob-174 ability of producing action a conditioned on proprioceptive states s, perceptual observations o, 175 and language instructions z that specify the task. In the typical imitation learning setting, a dataset of expert in-domain trajectories is provided, consisting of observation-action-language tu-176 ples $\mathcal{D} = \{(s_i, a_i, o_i, z_i)\}_{i=1}^N$. This dataset can be utilized to learn the parameters of the policy π_{θ} . 177 While π can take on a variety of architectures with various training objectives (Goyal et al., 2023; Ke 178 et al., 2024; Zhao et al., 2023; Chi et al., 2023), most imitation learning algorithms are trained via 179 supervised learning to maximize the objective: $\mathbb{E}_{(s_i,a_i,o_i,z_i)\sim \mathcal{D}}\left[\log \pi_{\theta}\left(a_i \mid s_i, o_i, z_i\right)\right]$. This core 180 objective can be modified with rich architectural choices such as 3D policy architectures (Goyal 181 et al., 2023; Ke et al., 2024) or more expressive policy distribution classes (Zhao et al., 2023; Chi 182 et al., 2023), but generalization to out-of-domain to settings with semantic or visual variations is still 183 challenging. We study how vision-language models can be used to aid the generalization of such 184 low-level imitation learning-based policies, discussed in Section 4.1.

185 Vision Language Models: Typical vision language models (VLMs) (Lin et al., 2024; Liu et al., 186 2024b) are large transformers (Vaswani et al., 2023) that take vision & text tokens as input and 187 produce text responses. These models are pre-trained on large multimodal datasets (Zhu et al., 188 2023; Byeon et al., 2022), and then finetuned on targeted high-quality datasets (Shen et al., 2021; 189 Lu et al., 2022). These models tokenize each modality into a shared space to produce a sequence of 190 output tokens corresponding to text or other output modalities. In this work, we assume access to 191 a pre-trained, text and image input VLM (Lin et al., 2024; Liu et al., 2024b), that autoregressively 192 outputs a sequence of text tokens conditioned on an image and previous text tokens. These pretrained VLMs can typically be finetuned using a supervised prediction loss that minimizes the negative log-193 likelihood of the answer text tokens. 194

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4 HAMSTER: HIERARCHICAL ACTION MODELS FOR ROBOTIC LEARNING

In this work, we examine how VLA models can be trained on relatively abundant data to demonstrate 199 cross-domain transfer capabilities, as opposed to training on expensive image-action data collected 200 on a robot. HAMSTER is a family of hierarchical action models designed for this purpose, ex-201 hibiting generalizable and robust manipulation. It consists of two interconnected models: first, a higher-level VLM that is fine-tuned on large-scale, cross-modal data to produce intermediate guid-202 ance (detailed in Section 4.1), and second, a low-level policy that produces actions conditioned on 203 the VLM's predicted guidance (detailed in Section 4.2). The finetuned VLM and the low-level pol-204 icy communicate using a 2D path representation. Figure 2 provides an overview of HAMSTER's 205 design. Crucially, we study the ability of such a hierarchical design to enable training on cheap, 206 abundantly available data such as simulation and videos. 207

208 **Problem Definition.** Rather than operating in the pure imitation learning setting as described 209 in Section 3, we study a scenario where cross-domain data is utilized to train VLA models. 210 While the typical imitation learning setting uses a dataset of optimal in-domain, on-robot tuples 211 $\mathcal{D} = \{(s_t, a_t, o_t, z_t)\}_{t=1}^N$ to learn a near-optimal policy π_{θ} , in this setting we additionally assume access to a much larger dataset(s) of "off-domain" approximately optimal data $\mathcal{D}_{off} = \{(o_i^o, z_i^o)\}_{i=1}^M$ 212 213 where $M \gg N$, such as video or simulation data. This "off-domain" data \mathcal{D}_{off} is different from in-domain data \mathcal{D} in several important ways: 1) Off-domain perceptual observations o_i^o may be con-214 siderably different than in-domain perceptual observations o_i , even when the underlying physical 215 state of the system is similar An illustrative example of this is the marked difference between simu-

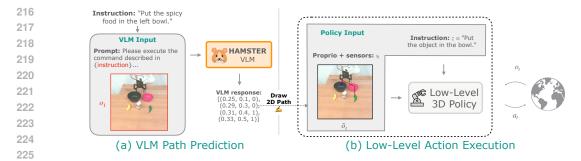


Figure 2: Depiction of HAMSTER's execution. The high-level VLM is called once to generate the 2D path. The low-level policy is conditioned on the 2D path and interacts with the environment sequentially to execute low-level actions. The path predicted by the VLM enhances the low-level policy generalization capability.

lation and real-world scene appearance (see Figure. 5). 2) The underlying physical dynamics of the system can be potentially different, i.e the transition dynamics $\mathbb{P}(s'|s, a)$ between states s', s may be different between off-domain sources such as video or simulation than the test-time deployment setting. While the dynamics may show level differences, we assume the higher-level coarse strategies to solve the task remain invariant. 3) Off-domain data may not have access directly to actions aor proprioception p, for instance in video based datasets. This poses challenges to directly applying the standard imitation learning paradigm for these datasets.

236 The goal is to leverage the combination of a small amount of "expensive" in-domain data \mathcal{D} and a 237 large amount of relatively "cheap" off-domain data \mathcal{D}_{off} to obtain a generalizable policy π_{θ} that can 238 be successfully deployed over various initial conditions, task variations, and visual variations in the 239 in-domain robot environment. Without additional assumptions, this problem is arduous due to the 240 lack of alignment between the in-domain and off-domain settings. In this work, we assume access 241 to an intermediate *path-labeler* $p_i = h(o_i, z_i)$ at training time, that accepts an observation o_i and a 242 language instruction z_i from either the off-domain or in-domain datasets, to produce an intermediate path label p_i that indicates how to optimally perform the task z_i from the observation o_i . In this work, 243 we choose this intermediate path label p_i to be a sequence of points, a 2D path, on the image that 244 indicates coarse end-effector motion to solve the designated task. This path-labeler at training time 245 can come from different sources - a projection of known proprioception if available, human-drawn 246 trajectory annotations on images, point-tracked end-effector or hand positions from video, and so 247 on. Applying such a path labeler to the off-domain dataset yields $\tilde{\mathcal{D}}_{off} = \{(o_i^o, z_i^o, p_i^o)\}_{i=1}^M$. 248

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4.1 HAMSTER'S VLM FOR PRODUCING 2D PATHS TRAINED FROM OFF-DOMAIN DATA

The first stage of building a HAMSTER VLA model is finetuning a high-level VLM that predicts coarse 2D paths p given a language instruction z and observation o. This path represents the approximate trajectory of the robot end-effector on the input camera image. It also contains information about the gripper state (where to open the gripper and where to close it) as subsequently explained.

255 Although, conceptually, any VLM can be used to predict such a 2D path by casting an appro-256 priate prompt, we find that standard pre-trained VLMs struggle with predicting such a path in a 257 zero-shot manner (see Table 2). Therefore, we finetune pre-trained VLMs on datasets that ground 258 VLMs to robot scenes and path predictions collected from easier-to-obtain sources, i.e., internet 259 visual-question-answering data, robot data from other modalities, and simulation data. The primary 260 advantages of finetuning such a hierarchical VLM that produces intermediate representations l_i , as 261 opposed to directly producing actions a with a monolithic model (Kim et al., 2024; Zitkovich et al., 262 2023) are twofold: 1) the lack of actions in certain off-domain datasets (such as videos) makes it 263 impossible to even train monolithic pixel-to-action models, 2) we find empirically that hierarchical 264 VLMs producing intermediate cross-domain predictions generalize more effectively than monolithic 265 VLA models.

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Finetuning Objective and Datasets We use VILA-1.5-13b (Lin et al., 2024), a 13-billion parameter vision language model trained on interleaved image-text datasets and video captioning
 data, as our base VLM. We then curate a multi-domain dataset to finetune this model for effective
 2D path prediction. Predicting the 2D path of the end-effector requires understanding *what* objects

to manipulate in a given task in terms of their pixel positions, but also reasoning about *how* a robot should perform the task. To enable this understanding, we collate a diverse off-domain dataset \mathcal{D}_{off} from a wide range of modalities, including real-world data, visual question-answering data, and simulation data. Importantly, *none* of this off-domain data used to train the VLM comes from the deployment environment, thereby emphasizing generalizability. However, as outlined in Section 4.2, the predictions of this trained VLM are used to guide a low-level policy at inference time.

We assemble a dataset $\tilde{\mathcal{D}}_{off} = \{(o_i^o, z_i^o, p_i^o)\}_{i=1}^M$ of image inputs o_i^o , language prompts z_i^o , and path labels p_i^o consisting of three types of data: (1) pixel point prediction tasks (*what*); (2) simulated robotics tasks (*what and how*); (3) a real robot dataset consisting of trajectories (*what and how*). We detail each dataset below; see Figure 6 for visualization of each dataset's prompts and labels.

Pixel Point Prediction. For pixel point prediction, we use the dataset released by Robo-Point (Yuan et al., 2024) with 1.4 million VQA tasks, with most answers represented as a list of 2D points corresponding to locations on the image. A sample consists of a prompt z° like Find all instances of cushions, an input image o° and labels p° like [(0.25, 0.11), (0.22, 0.19), (0.53, 0.23)].¹ This dataset consists of data automatically generated in simulation and collected from existing real-world datasets; its diversity and tasks enable the HAM-STER VLM to reason about pixel-object relationships across diverse scenes while retaining its semantic generalization capabilities.

288 **Robot Simulation Data.** We additionally generate a dataset of simulated robotics tasks from RL-289 Bench (James et al., 2020), a simulator of a Franka robot performing tabletop manipulation for a 290 wide array of both prehensile and non-prehensile tasks. We use the simulator's built-in planning al-291 gorithms to automatically generate successful manipulation trajectories and construct ground-truth 292 2D path labels p° . Each trajectory contains a sequence of 3D coordinates of the robot's gripper 293 in world space, as well as whether the gripper is open or closed at a given time step. We use known camera intrinsics and extrinsics to project these points on the front image and construct 294 labels $p^o = [(x_{image}, y_{image}, gripper_open), \ldots]$ where $x_{image}, y_{image} \in [0, 1]$ are relative pixel 295 locations of the end effector's position on the image. The front camera image of the initial state 296 forms the image input o^{o} and the prompt z^{o} for the VLM is to provide a sequence of points denot-297 ing the trajectory of the robot gripper to achieve the given instruction (see Figure 2) We generate 298 1000 episodes for each of 79 robot manipulation tasks in RLBench, each episode with \sim 4 language 299 instructions, for a total of \sim 300k (o^o, z^o, p^o) tuples for \mathcal{D}_{off} . 300

301 Real Robot Data. Using real robot data allows us to ensure the VLM can reason about objects and robot gripper paths when conditioned on scenes, including real robot arms. We use existing, 302 online robot datasets not from the deployment environment to enable this VLM ability. We source 303 10k trajectories from the Bridge dataset (Walke et al., 2023; Collaboration et al., 2023) consisting of 304 a WidowX arm performing manipulation tasks and 45k trajectories from DROID (Khazatsky et al., 305 2024). For both datasets, we use the given end-effector trajectories and given (or estimated) camera 306 matrices to convert robot gripper trajectories to 2D paths p^{o} . We use a camera image from the first 307 timestep of each robot trajectory as o^{o} and a similar text prompt z^{o} as the simulation dataset. Note 308 that we essentially utilize the robot data as video data, where the end effector is tracked over time. 309 In principle, this could be done with any number of point-tracking methods (Doersch et al., 2023) 310 on raw video as well, with no action or proprioceptive labels.

311 **VLM Training.** We finetune the HAMSTER VLM on all three datasets by randomly sampling 312 from all samples in the entire dataset with equal weight. One problem with directly training on 313 the path labels p^{o} is that many paths may be extremely long, e.g., exceeding one hundred points. 314 Since we want the HAMSTER VLM to reason at a *high level* instead of on the same scale as the 315 low-level control policy. Therefore, we simplify the paths p^{o} with the Ramer-Douglas-Peucker 316 algorithm (Ramer, 1972; Douglas & Peucker, 1973) that reduces curves composed of line segments 317 to similar curves composed of fewer points. We train with the standardized supervised prediction loss to maximize the log-likelihood of the language labels $p^o \mathbb{E}_{(o_i^o, z_i^o, p_i^o) \sim \tilde{\mathcal{D}_{off}}} \log \text{VLM}\left(p_i^o \mid z_i^o, o_i^o\right)$. 318

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¹Note that this is not a temporally ordered path, but rather simply a set of unordered points of interest in an image. We overload notation here for the sake of notational convenience.

4.2 PATH GUIDED LOW-LEVEL POLICY LEARNING

326 After training the HAMSTER VLM to predict paths, we train a low-level policy to utilize these paths 327 to predict actions. While a low-level control policy *can* learn to solve the task without access to 2D path predictions, providing it with 2D paths can make the task easier. The paths allow the low-level 328 policy to forgo long-horizon and semantic reasoning and focus on local and geometric predictions to produce low-level actions. As we find empirically (see Figure. 3), 2D paths allow for considerably 330 improved visual and semantic generalization of low-level policies. We train low-level policies based 331 on rich 3-D perceptual information, available at test time on a robotic platform with standard depth 332 cameras. Then the question becomes—how do we incorporate 2D path information \hat{p} produced by 333 the VLM in Section 4.1 onto the 3D inputs to enable generalizable robot manipulation? 334

Conditioning on Paths. We convert 2D paths of the form $p = \{(x_i, y_i, gripper_open)\}_{t=1}^{L}$ 335 into a format that is easy to incorporate into any language (z), proprioception (s), and image (o)336 conditioned policy $\pi_{\theta}(a \mid s, o, z)$. While one could concatenate the path with the proprioception or 337 language input, paths are of varied lengths, and this could prevent the integration of such paths into 338 existing policy architectures that cannot take in varied proprioceptive or language inputs. Instead, we 339 directly draw the 2D path points onto the image input to the policy, which is not only generalizable 340 across policy architectures but also may provide easier-to-follow path guidance as the policy does 341 not have to learn how to associate path points with their corresponding image locations (Gu et al., 342 2023). During training, we use oracle paths constructed by projecting end-effector points to the 343 camera plane as described for simulation and real robot data in Section 4.1.

Formally, we iterate through each trajectory $\tau_i = \{s_i^t, a_i^t, o_i^t, z_i)\}_{t=1}^T$ on the in-domain dataset \mathcal{D} to obtain the path p_i . Gu et al. (2023) proposed using colored trajectories to guide a policy's actions, and we largely follow their method of coloring trajectories to indicate gripper status and progression through time. These paths are drawn onto all images in the trajectory $o_i^1 \dots o_i^T$ by drawing points at each (x, y) and connecting them with line segments to obtain $\{\tilde{o}_i^t\}_{t=1}^T$. We use a color gradient to indicate progression through time (see Figure 2(b) for an example). We plot circles for change in gripper status: e.g., green for closing the gripper and blue for opening. This constructs the final in-domain path-labeled dataset $\mathcal{D}_{path} = \{(s_i, a_i, \tilde{o}_i, z_i)\}_{i=1}^N$.

352 **Imitation Learning.** Finally, we train a policy $\pi_{\theta}(a \mid s, \tilde{o}, z)$ conditioned on proprioception and 353 other sensor information s, path-annotated image observations \tilde{o} , and a task language instruction z 354 on \mathcal{D}_{path} . HAMSTER's general path-conditioning framework allows for using arbitrary lower-level 355 control policies as they do not need to condition on the same inputs as the VLM. Therefore, we 356 train 3D low-level policies, such as RVT-2 (Goyal et al., 2024) and 3D-DA (Ke et al., 2024), for 357 low-level control. Here, we assume s includes additional sensor information (i.e., depth), which 358 3D-DA and RVT-2 utilize to construct point clouds and virtual camera renderings, respectively, for more accurate control and data-efficient imitation learning. We directly train these policies, with 359 no necessary architectural changes,² with their supervised imitation learning objectives on \mathcal{D}_{path} to 360 maximize log-likelihoods of the dataset actions: $\mathbb{E}_{(s_t, a_t, \tilde{o}_t, z_t) \sim \mathcal{D}_{\text{path}}} \log \pi_{\theta}(a \mid s_t, \tilde{o}_t, z_t)$. For further 361 implementation details, see Appendix B. 362

Online Evaluation Standard VLA architectures query the VLM for every low-level action (Kim et al., 2024; Brohan et al., 2023a), which can be very expensive with large VLMs—for example, OpenVLA's 7B param VLA only runs at 6Hz on an RTX 4090 (Kim et al., 2024). Instead, HAM-STER's hierarchical design allows us to query the VLM just once at the beginning of the episode to generate a 2D path \hat{l} that we draw onto every subsequent image.³ Therefore, HAMSTER can be scaled to large VLM backbones without needing end-users to be concerned about inference speed.

5 EXPERIMENTAL EVALUATION

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To test the hypotheses proposed in Section 4, we perform empirical evaluations in both simulation and the real world. The experiments primarily aim to answer the following questions: (1) do hierarchical VLA models enable behavioral generalization to unseen scenarios? (2) do hierarchical VLA

²We ignore the language instruction for RVT-2 it is already encoded in the 2D path.

³HAMSTER is not inherently limited to being queried once per episode, but for simplicity and computational efficiency we query just once per episode in our experiments.

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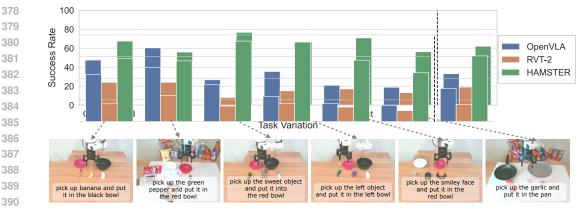


Figure 3: Depiction of quantitative real-world policy execution results on a real-world robot, evaluated across different axes of generalization. Across all generalization axes, HAMSTER outperforms monolithic VLAs and 3D imitation learning policies.

models show more effective cross-domain generalization than monolithic VLA models or low-level imitation learning methods? (3) is behavior learned by hierarchical VLA models robust to significant degrees of visual and semantic variations? (4) does including cross-domain data from settings like simulation really help with model generalization? (5) does explicitly finetuning the high-level VLM yield benefits in terms of spatial and semantic reasoning?

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REAL WORLD EVALUATION ON TABLETOP MANIPULATION 5.1

403 Our real-world evaluation experiments aim to test the generalization capability of hierarchical VLA 404 models across significant semantic and visual variations. In particular, we consider a variant of 405 HAMSTER that uses a VLM (VILA-1.5-13b) finetuned on the data mixture in Section 4.1 as the 406 high-level predictor, with a 3-D policy architecture - RVT-2 (Goyal et al., 2024) as the choice of 407 low-level policy, as described in Section 4.2. The low-level 3D policy is trained with 320 episodes 408 collected via teleoperation directly on the table-top manipulation setup shown in Fig. 6. Importantly, 409 the high-level VLM in HAMSTER is not finetuned on any in-domain data and is directly transferred 410 only from the cheap data sources described in Section 4.1. This suggests that any generalization that 411 the VLM sees does not result from in-domain training data rather than from cross-domain transfer.

412 Baseline comparisons: We compare HAMSTER to a state-of-the-art monolithic VLA, Open-413 VLA (Kim et al., 2024), as well as a non-VLM 3D imitation learning policy. For fair comparison, 414 we finetune OpenVLA on the collected in-domain trajectory data described above, since OpenVLA 415 showed poor zero-shot generalization to the testing domain. For the 3D imitation learning policy, 416 we use RVT-2 (Goyal et al., 2024) as our baseline, as it is effective in learning robust policies with few demonstrations. The RVT-2 baseline is trained with the same teleoperation data used to train 417 the low-level policy in HAMSTER but without the intermediate 2D path representation from HAM-418 STER's VLM. 419

- 420 Figure 3 summarizes our real-world results. We compile results for multiple tasks, including 'pick 421 and place', 'push buttons', and 'knock down objects'. Similar to prior works (Kim et al., 2024), 422 we test generalization across various axes, including: Arrangement: novel rearrangement of seen objects; Visual: visual generalization with pose changes in the target object and changes in table 423 texture, lighting, and distractors; Language: semantic generalization with unseen language instruc-424 tions to describe the task and target objects; Novel Object: testing scenarios with objects that are 425 unseen in the training data; and lastly combinations of multiple of the above factors applied simul-426 taneously. In total, we do 132 tests across all the models. 427
- 428 We find that HAMSTER is able to outperform monolithic VLA models as well as 3D imitation learning methods. This is significant because this improved performance is in the face of consider-429 able visual and semantic changes in the test setting, showing the ability of HAMSTER to transfer 430 much more effectively than monolithic VLA models or non-VLM base models. We refer readers to 431 the supplementary website for additional details on the failure modes and evaluation conditions.

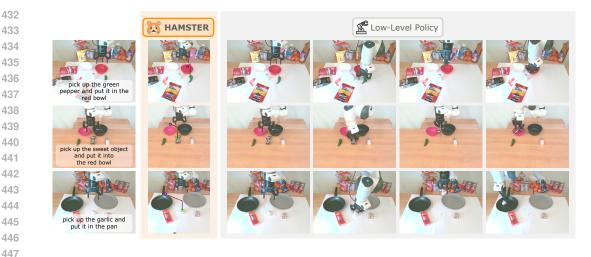


Figure 4: Examples of real-world executions of HAMSTER demonstrate its strong performance in novel complex scenes, achieved by leveraging the generalization capabilities of VLMs and the robust execution of low-level 3D policies.

	Avg.	no var	bac tex	cam pos	distractor	lig col	man obj col	man obj siz
3D-DA[Ke et al.] HAMSTER (w 3D-DA)	0.44 0.52	$\begin{array}{c} \textbf{0.53} \pm 0.04 \\ 0.48 \pm 0.32 \end{array}$	$\begin{array}{c} 0.37\pm0.07\\ \textbf{0.46}\pm0.07\end{array}$	$\begin{array}{c} \textbf{0.45} \pm 0.38 \\ 0.41 \pm 0.11 \end{array}$	$\begin{array}{c} 0.28\pm0.24\\ \textbf{0.43}\pm0.10\end{array}$	$\begin{array}{c} 0.62\pm0.30\\ \textbf{0.66}\pm0.20\end{array}$	$\begin{array}{c} 0.55\pm0.36\\ \textbf{0.61}\pm0.10\end{array}$	$\begin{array}{c} 0.33\pm0.21\\ \textbf{0.66}\pm0.25\end{array}$
	man obj tex	rec obj col	rec obj siz	rec obj tex	rlb and col	rlb var	tab col	tab tex
3D-DA[Ke et al.] HAMSTER(w 3D-DA)	0.54 ± 0.18 0.46 ± 0.12	0.3 ± 0.08 0.44 ± 0.17	$\begin{array}{c} 0.26 \pm 0.16 \\ \textbf{0.29} \pm 0.14 \end{array}$	$\begin{array}{c} 0.45 \pm 0.18 \\ \textbf{0.59} \pm 0.2 \end{array}$	$\begin{array}{c} 0.08\pm0.01\\ \textbf{0.12}\pm0.04 \end{array}$	$\begin{array}{c} 0.59 \pm 0.33 \\ \textbf{0.65} \pm 0.2 \end{array}$	$\begin{array}{c} 0.37 \pm 0.23 \\ \textbf{0.68} \pm 0.13 \end{array}$	0.41 ± 0.19 0.38 ± 0.11

Table 1: Simulation evaluation of HAMSTER across different visual variations. We test vanilla 3D Diffuser Actor and HAMSTER across variations in Colosseum (Pumacay et al., 2024) and find that HAMSTER generalizes more effectively than 3D Diffuser Actor. Avg. indicates mean across variations, including no variation. For details about each variation, please refer to Pumacay et al. (2024).

460 5.2 SIMULATION EVALUATION 461

462 We also perform controlled experiments in simulation. We use Colosseum (Pumacay et al., 2024) as 463 the benchmark as it displays considerable visual and semantic variations. In simulation, we paired our high-level VLM, with 3D Diffuser Actor (Ke et al., 2024) as the low-level policy, since this is 464 one of the state-of-the-art models on RLBench. We compare HAMSTER with a vanilla 3D Dif-465 fuser Actor implementation without path guidance. Table. 1 summarizes our results in simulation. 466 HAMSTER significantly outperforms vanilla 3D-DA (0.43 vs 0.52). This shows that the 2D paths 467 produced by the VLM in HAMSTER can help low-level policies to generalize better to novel unseen 468 variations. We refer readers to Colosseum (Pumacay et al., 2024) for details on the variations. 469

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5.3 IMPACT OF DESIGN DECISIONS ON VLM PERFORMANCE

472 To better understand the transfer and generalization performance of the proposed hierarchical VLA 473 model, we analyze the impact of various decisions involved in training the high-level VLM. We con-474 duct a human evaluation of different variants of a trained high-level VLM on a randomly collected 475 dataset of real-world test images, as shown in Figure 5. We ask each model to generate 2D path 476 traces corresponding to instructions such as "move the block on the right to Taylor Swift" or "screw the light bulb in the lamp" (the full set is in Appendix C.1). We then provide the paths generated by 477 each method to human evaluators who have not previously seen any of the models' predictions. The 478 human evaluators rank the predictions for different methods and the average rank across the samples 479 is reported in Table 2. 480

We evaluate the following VLM models (listed in the order seen in Table 2): (1) zero-shot state-of-the-art closed-source models such as GPT-40 using a similar prompt to ours (shown in Figure 7) (2) zero-shot state-of-the-art closed-source models such as GPT-40 but using Code-as-Policies (Liang et al., 2023) to generate paths as described in Gu et al. (2023) (3) finetuned open-source models (VILA-1.5-13b) on the data sources described in Section 4.1, but excluding the simulation trajectories from the RLBench dataset, (4) finetuned open-source models (VILA-1.5-13b) on the data

486 487 488	Method	VLM	Finetuning Data	Rank Exc. Real RLB.	Rank Real RLB.	Rank All
489	RT-Traj.	0-shot GPT4-o	-	3.40	3.63	3.52
490	RT-Traj.	CaP GPT4-o	-	3.57	3.36	3.46
491	HAMSTER	VILA	Our Exc. Sim RLB.	1.78	2.39	2.11
491	HAMSTER	VILA	Our	1.59	1.28	1.42

Table 2: Ranking-based human evaluation of different VLMs, averaged across various real-world evaluation tasks. Results indicate that HAMSTER including simulation data is most effective since it captures both spatial and semantic information across diverse tasks from RLBench. This significantly outperforms zero-shot VLMbased trajectory generation, as described in Gu et al. (2023)

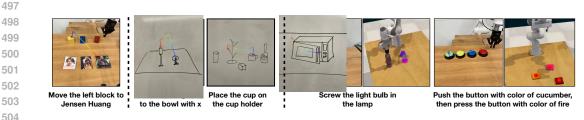


Figure 5: HAMSTER's VLM demonstrates considerable generalization and cross-domain learning to scenarios not encountered in the training set. From left to right: (a) it can effectively utilize world knowledge to generalize to tasks specified by people; (b) it generalizes to highly out-of-domain input images, such as humandrawn sketches; (c) when trained on diverse simulated data it shows transfer to related, but visually distinct tasks in the real world.

510 sources described in Section 4.1, including path sketches from the RLBench dataset. The purpose 511 of these evaluations is to first compare with closely related work that generates 2D trajectories using pretrained closed source VLMs Gu et al. (2023) (Comparison (1) and (2)). The comparison be-512 tween (3) and (4) (our complete method) is meant to isolate the impact of including the simulation 513 path sketches from the RLBench dataset. In doing so, we analyze the ability of the VLM to pre-514 dict intermediate paths to transfer across significantly varying domains (from RLBench to the real 515 world). 516

517 The results suggest that: (1) zero-shot path generation, even from closed-source VLMs Gu et al. (2023) such as GPT-40 with additional help through Code-as-Policies (Liang et al., 2023), under-518 performs VLMs finetuned on cross-domain data as in HAMSTER; (2) inclusion of significantly 519 different training data such as low-fidelity simulation during finetuning improves the real-world per-520 formance of the VLM. This highlights the transferability displayed by HAMSTER across widely 521 varying domains. These results emphasize that the hierarchical VLA approach described in HAM-522 STER can effectively utilize diverse sources of cheap prior data for 2D path predictions, despite 523 considerable perceptual differences. 524

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CONCLUSION AND LIMITATIONS 6

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528 In summary, HAMSTER studies the potential of hierarchical VLA models, achieving robust gener-529 alization in robotic manipulation. It consists of a finetuned VLM that accurately predicts 2D paths 530 for robotic manipulation and a low-level policy that learns to generate actions using the 2D paths. This two-step architecture enables visual generalization and semantic reasoning across considerable 531 domain shifts, while enabling data-efficient specialist policies, like ones conditioned on 3D inputs, 532 to perform low-level action execution. 533

534 This work represents an initial step towards developing versatile, hierarchical VLA methods, with numerous opportunities for future improvement and expansion. The proposed work only generates 536 points in 2D space, without making native 3D predictions. This prevents the VLM from having true 537 spatial 3D understanding. Moreover, the interface of just using 2D paths is a bandwidth limited one, which cannot communicate nuances such as force or rotation. In the future, investigating learnable 538 intermediate interfaces is a promising direction. Moreover, training these VLMs directly from largescale human video datasets would also be promising.

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864 865 For extended supplementary details and results, please see https://sites.google.com/ view/hamster-iclr.

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A VLM FINETUNING DATASET DETAILS

Pixel Point Pred Data. Our point prediction dataset comes from Robopoint (Yuan et al., 2024). Most data in our point prediction dataset contains labels given as a set of unordered points such as $p^o = [(0.25, 0.11), (0.22, 0.19), (0.53, 0.23)]$. However, data in RoboPoint also contains answers that are instead in natural language for VQA queries such as "what is the person feeding the cat?" We keep these data as is because these VQA queries are likely to benefit a VLM's semantic reasoning an visual generalization capabilities; we fine-tune HAMSTER's VLM on the entire Robopoint dataset as given.

877 Simulation Data. We selected 79 RLBench tasks out of 100 to generate data by removing the tasks with poor visibility on the front_cam view in RLBench. We use the first image in each episodes combined with each language instruction. THe final dataset is around 320k.

Real Robot Data. For the Bridge Walke et al. (2023) dataset, which only provides RGB images,
we extract trajectories by iteratively estimating the extrinsic matrix for each episode. In each scene,
we randomly sample a few frames and manually label the center of the gripper fingers. Using the
corresponding end-effector poses, we compute the 3D-2D projection matrix with a PnP (Perspectiven-Point) approach. We then apply this projection matrix to the episodes and manually check for any
misalignments between the projected gripper and the actual gripper. Episodes exhibiting significant
deviations are filtered out and start a new round to estimate their extrinsic.

For DROID (Khazatsky et al., 2024), a large portion of the dataset contains noisy camera extrinsics information that do not result in good depth alignment. Therefore, we filter out trajectories with poor-quality extrinsics as measured by the alignment between the projected depth images and the RGB images. This results in ~45k trajectories (~22k unique trajectories as trajectories each have 2 different camera viewpoints) which we use for constructing the VLM dataset \mathcal{D}_{off} as described in Section 4.1.

B IMPLEMENTATION AND ARCHITECTURE DETAILS

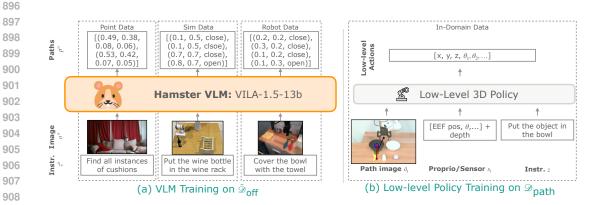


Figure 6: (a): Examples of training data in $\tilde{\mathcal{D}}_{off}$ used to train HAMSTER's VLM. (b): The data used to train HAMSTER's low-level policies.

913 B.1 VLM IMPLEMENTATION DETAILS

915 VLM Prompt. We list the prompt for both fine-tuning on sim and real robot data and evaluation in
916 Figure 7. We condition the model on an image and the prompt, except when training on Pixel Point
917 Prediction data (i.e., Robopoint (Yuan et al., 2024) data) where we used the given prompts from the dataset.

	HAMSTER Prompt
	In the image, please execute the command described in $\langle quest \rangle \{quest \rangle \langle quest \rangle$. Provide a sequence of points denoting the trajectory of a robot gripper to achieve the goal. Format your answer as a list of truples enclosed by $\langle ans \rangle$ and $\langle /ans \rangle$ tags. For example: $\langle ans \rangle [(0.25, 0.32), (0.32, 0.17), (0.13, 0.24), \langle action \rangle Open Gripper \langle /action \rangle, (0.74, 0.21), \langle action \rangle Close Gripper \langle /action \rangle,] \langle /ans \rangle$ The tuple denotes point x and y location of the end effector of the gripper in the image. The action tags indicate the gripper action. The coordinates should be floats ranging between 0 and 1, indicating the relative locations of the points in the image.
	gure 7: The full text prompt we use to train HAMSTER with on simulation and real robot data action 4.1). We also use this prompt for inference.
the the con pat	A Trajectory Shortening. As mentioned in Section 4.1, one problem with directly training on path labels p^o is that many paths may be extremely long. Therefore, we simplify the paths p^o with Ramer-Douglas-Peucker algorithm (Ramer, 1972; Douglas & Peucker, 1973) that reduces curves nposed of line segments to similar curves composed of fewer points. We run this algorithm on hs produced by simulation and real robot data to generate the labels p^o for \mathcal{D}_{off} . We use tolerance = 0.05.
wi pro	M Training Details. We train our VLM, VILA1.5-13B Lin et al. (2024), on a node equipped h eight NVIDIA A100 GPUs, each utilizing approximately 65 GB of memory. The training cess takes about 30 hours to complete. We use an effective batch size of 256 and a learning rate 1×10^{-5} . During fine-tuning, the entire model—including the vision encoder—is updated.
В.	2 LOW-LEVEL POLICY TRAINING DETAILS
ke	e train RVT2 (Goyal et al., 2024) and 3D-DA (Ke et al., 2024) as our lower-level policies. We ep overall architecture and training hyperparameters the same as paper settings. Specific details but how the inputs were modified other than the 2D path projection follow.
tra to am	r low-level policy training, we train the policies on ground truth paths constructed by projecting jectory end-effector points to the camera image. In order to also ensure the policies are robust possible error introduced by HAMSTER VLM predictions during evaluation, we add a small ount of random noise $(N(0, 0.01))$ to the 2D path (x, y) image points during training to obtain ghtly noisy path drawings.
	T2. We remove the language instruction for RVT-2 when conditioning on HAMSTER 2D hs.
	-DA. No changes such as removing language were performed for 3D-DA's inputs as we saw a formance drop when removing language from the tasks.
С	Extended Results
C.	1 VLM REAL WORLD GENERALIZATION STUDY
det exa fro	e full list of task descriptions for this study is below (see Section 5.3 for the main experiment ails). Duplicates indicate different images for the same task. We plot some additional comparison amples in Figure 8. Note that the path drawing convention in images for this experiment differ m what is given to the lower-level policies as described in Section 4.2 as this multi-colored line easier for human evaluators to see.
	1. screw in the light bulb on the lamp
	2. screw in the light bulb on the lamp

3. screw in the light bulb on the lamp

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4. screw out the light bulb and place it on the holder

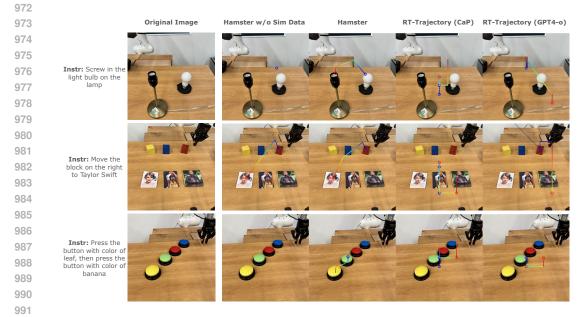


Figure 8: Human VLM evaluation example images and instructions along with corresponding trajectories from HAMSTER without any finetuning on (RLBench) simulation data, HAMSTER finetuned on all the data in Section 4.1, RT-Trajectory (Gu et al., 2023) with Code-as-Policies (Liang et al., 2023) powered by GPT-40 (Achiam et al., 2023), and RT-Trjaectory powered by GPT-40 directly.

- 9975. screw out the light bulb and place it on the holder9986. screw in the light bulb
 - 7. screw in the light bulb on the lamp
 - 8. move the blue block on Taylor Swift
 - 9. pick up the left block and put it on Jensen Huang
- 1003 10. move the block on the right to Taylor Swift
- 1005 11. place the yellow block on Kobe
- 1006 12. pick up the blue block and place it on Jensen Huang
- 1007 13. move the red block to Kobe
- 1008 14. press the button on the wall
- 15. press the button to open the left door
- 1011 16. press the button to open the right door
- 1012 17. open the middle drawer
- 1013 18. open the bottom drawer
- 1015 19. open the top drawer
- 1016 20. open the middle drawer
- 1017 21. open the bottom drawer
- 1018 22. press the button
- 1020 23. press the button

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- 1021 24. press the orange button
- 1022 25. press the orange button with black base
- 1023 26. press the button
- 1024 27. pick up the SPAM and put it into the drawer
 - 28. pick up the orange juice and put it behind the red box

29. pick up the tomato soup and put it into the drawer 30. pick up the peach and put it into the drawer 31. move the mayo to the drawer 32. move the dessert to the drawer 33. pick up the object on the left and place it on the left 34. pick up the fruit on the left and put it on the plate 35. pick up the milk and put it on the plate 36. press the button with the color of cucumber, then press the button with color of fire 37. press the button with color of banana 38. press the button with color of leaf 39. press the button with color of leaf, then press the one with color of banana 40. press left button 41. pick up the left block on the bottom and stack it on the middle block on top 42. make I on top of C 43. put number 2 over number 5 44. stack block with lion over block with earth 45. pick up the left block on the bottom and stack it on the middle block on top 46. stack the leftest block on the rightest block 47. stack the block 25 over block L 48. put the left block on first stair